

MINUTES OF A MEETING OF THE JOINT INDEPENDENT AUDIT COMMITTEE HELD AT POLICE HEADQUARTERS, KIDLINGTON ON 7 DECEMBER 2018 COMMENCING AT 10.30AM AND CONCLUDING AT 12:50 PM

Members Present:

Dr L Lee (Chairman), Mike Day, Richard Jones, Alison Phillips OBE, Dr G A Woods

Present:

A Stansfeld (Police & Crime Commissioner)
M Barber (Deputy Police & Crime Commissioner)
P Hammond (Chief Executive, OPCC)
I Thompson (Chief Finance Officer, OPCC)
F Habgood (Chief Constable)
J Campbell (Deputy Chief Constable)
A Cooper (Director of Information)
N Shovell (Chief Internal Auditor, OPCC)
A Shearn (Principal, Auditor, OPCC)
P King (Associate Partner, Ernst & Young)
C Sha (External Auditor)
P Wooding (Governance & Service improvement)
M Horne (Governance & Service Improvement)
C Roberts (Executive Assistant to the PCC/DPCC, OPCC)
H Brown (Admin Support Assistant, OPCC)

Apologies:

A Balmer (Manager, Ernst & Young)
L Waters (Director of Finance)
R France (Chief Supt.)

87 APOLOGIES

The Chair welcomed all attendees to the meeting with apologies given from Linda Waters and Adrian Balmer. Paul King, Associate Partner for Ernst & Young recently replaced Maria Grindley gave an introduction to the Committee as to his new role.

88 PART I MINUTES OF THE LAST MEETING HELD ON 21 SEPTEMBER 2018 & MATTERS ARISING

Part 1 of the Minutes of the last meeting held on 21 September 2018 were gone through for content and accuracy.

The Chair confirmed the Minutes as a true record.

Matters arising not otherwise on the Agenda

The Committee confirmed they had received two emails from People Services which clarified the outstanding action from page 13 Minute 71 on how many BAME people get through the recruitment process other than non-BAME.

There was a typo on page 4 in Section 78 paragraph 4, second line which should read *that the checks were built into the timetable going forwards...* and CR confirmed this would be updated and re-uploaded to the PCC website in due course.

All other matters arising from the previous minutes would be included in a 'JIAC Action' document and would be circulated to attendees and members for completion and included in the next Agenda for March 2019.

89 TVP RISK MANAGEMENT REPORT

The Strategic Governance Unit reviewed all existing strategic risks and updated the mitigating actions and risk scores. DCC Campbell explained the 4T approach to risk management (Tolerate, Treat, Transfer, and Terminate) and SR56 were summarised noting that the Sharepoint Project Board had procured a tool which allowed bulk data migration out of Livelink, allowing recovery of key data. This commenced on 12 November 2018.

The current risk for SR65 Gazetteer currently in use Charm + Oasis was out of date. This would be resolved when the Contact Management Programme (CMP) went live as the new ESRI GIS Mapping Gazetteer would be used by CMP. The adopted recommendations at this stage were that since the existing local mitigating activities appeared to be keeping the level of risk low, and other mitigating actions were tied to the RMS project, this risk should be tolerated in the short term but remain on the strategic risk register as it created force-wide risks in terms of visibility around this work.

Action: The Chair felt that the Risk Management Report did not contain enough evidence. The reports have gone from long incomplete list as in the last report to the current one containing little information for the committee to form a judgment as to whether the risks are being managed in the 4T fashion described. It was proposed that a member of the Committee should work with DCC Campbell to arrive at a more effective report for the next JIAC meeting. The name of the nominated member from the Committee would be emailed to Charlotte Roberts (CR) by email by close of business today for forwarding on to the Force.

As to SR74 Workforce resilience, the ongoing work to manage recruitment and retention had been placed with the new Recruitment and Retention Programme, replacing the Gold Group, which Dr Steven Chase (SC) led on. One of the key areas of this Board included recruitment to reach agreed resourcing levels plus additional PC/PCSO/Contact Management recruitment initiatives to increase intakes.

SR75 CMP Delay and SR76 CMP Failure were linked around the confidence and stability of the command and control system and the risk of failures and concerns around that. All legacy systems were being extended to ensure that they remained supported until June 2019 and could be extended further.

The adopted recommendations for the SR77 Investigation Management (Release under Investigation) was currently being considered and challenging at force management and CCMT. As part of the ongoing work a number of risks may require future action and the force were investigating the potential risks around the impact of partnership capability and identified three thematic risks which were being monitored. The second key decision to be made through Government as to the impact of Brexit was how the negotiations would impact directly on operational risks and create tight timescales for delivering solutions. The Strategic Governance Unit had developed a Brexit Working Group and in addition a Brexit Gold Group would be run in conjunction with the Hampshire Constabulary Gold Group which would focus on three key areas and which ACC Hardcastle would be leading on with the Military, Ambulance Service and other services who all sat across one area:

- Policing
- Current position
- Supply chain

The next quarter of future risk work would involve significant work to embed the proposed risk process changes if agreed by CCMT. The Police & Crime Commissioner was concerned about maintaining law and order and how it impacted on Thames Valley although at present there was a problem with retention that highlighted possible consequences of SR74. It was noted that (SC) had presented a paper at the Level 1 meeting on 26 November 2018 and gave an update on People Strategy on Human Resources.

The Chair asked whether the Force and OPCC knew what the Committee required from them as the statements provided in the paper did not contain enough detail and assurance of the risks and did not leave the Committee feeling confident and assured. It would be helpful to allow the Committee members to answer questions from the PCC. For example, the First Programme Board had been cancelled and this did not give the Committee assurance of matters being dealt with. The final bullet point on page 18 pointed out that the Programme Board Level was 'Good to Go' approval based upon agreed artefacts and the Chair asked what this actually meant. DCC Campbell confirmed that the Programme Board indicated that systems were good

to go in relation to the work around CMP and would ensure that the wording in future papers would be made clearer in future for the Committee. AC also reiterated to the Committee that Paul Hammond (PH) attended the Board of 'Good to Go' criteria (which was another word for 'artefacts'), to agree the gateway and to be present around the table in making sure the organisation was kept well organised.

Action: Chief Constable Francis Habgood (FH) agreed that the Committee should have full access to the Risk Register spreadsheet rather than providing a printed summary. One member of the Committee would be invited to meet with DCC Campbell and the team at an agreed time to discuss key items and issues and to process reassurance to the Committee of the risks.

90 TVP BUSINESS CONTINUITY REPORT

The Business Continuity Report provided an annual overview of Business Continuity Management policy and processes that were adopted by Thames Valley Police together with the most recent quarterly progress report that covered training, learning from business continuity incidents and training exercises.

The issues for consideration and that had been reported to Strategic Governance during the period August 2018 to October 2018 were on the 10 October 2018 where HQ South, Kidlington lost water supply for approximately 3½ hours, caused by a burst water main in Kidlington. Some departments invoked their plan and worked from home or from alternative locations. A resolution to this regular occurrence had been offered by Thames Water to provide resilience should further pipes burst in the future at no cost to Thames Valley Police.

There had been two ICT incidents during August 2018 to October 2018. On 3rd August 2018 Abingdon Control Room lost phone, radio and network services for ten minutes. BT reported that there was an issue with one of the routers and to resolve this issue a connection was made to the second router which cleared the errors. On 13 October 2018 during an operation, there was force wide connectivity issues with accessing external websites and PNC for 9 hours 55 minutes. It was established that a fibre cable had been physically damaged in an eternal off-site cabinet. Once an engineer had attended and repaired the cable, service was re-established.

Action: The Chair noted that there was an error on the Quarterly Comparison pyramid on page 29 where the right hand column indicated May 2018 – July 2018. This column should in fact be the last reporting quarter date and would require amending.

DCC Campbell continued to summarise the Business Continuity under review and going forwards and what activities were planned for the next period.

The Committee **APPROVED** the recommendations to review and note the report as appropriate.

91 RISK MANAGEMENT AND BUSINESS CONTINUITY UPDATE

The Report provided an annual overview and early visibility of the processes adopted by Thames Valley Police covering issues such as training exercise and learning from business continuity incidents. Mark Horne (MH) pointed out that the paper captured the RAG statuses and who owned them which addressed the current and future actions.

MH confirmed that the 'Lesson Themes' section on page 41 of the Report would be populated and updated as they were currently blank. The ICT P1 incident volume trend set out on page 42 would require the correct access label and MH confirmed he would amend this in the Report.

Action: The Committee would like to see a covering note attached to future Reports explaining this paper and supported this action as well as the direction of travel. Whichever Committee member is nominated to attend the meeting with DCC Campbell could provide evidence or updates on this working paper.

Action: MH to update the ICT P1 Incident Volume Trend set out on page 42 of the Risk Management and Business Continuity update.

The Committee **APPROVED** the recommendations and **NOTED** the Report.

92 PROGRESS ON 2018/19 INTERNAL AUDIT PLAN DELIVERY AND SUMMARY OF MATTERS ARISING FROM COMPLETED AUDITS

The Report provided details on the progress made in delivering the 2018/19 Joint Internal Audit Plan and the findings arising from the audit that were completed.

Changes had been made to the Joint Internal Audit Plan 2018/19 since the previous meeting in September in that the Recruitment Process audit had been removed as a Service Improvement Review had been recently completed within this area. The audit had been replaced by an Attendance Management Review. The only other change made was some minor audit title updates and day allocation alterations.

Local performance indicators to ensure audits were completed promptly and to an acceptable standard were moving in the right direction and Neil Shovell (NS) gave details of the current performance levels. Six of the seven audits where testing had been completed had been delivered within the performance target. The one that did not was twelve days overdue but this was due to annual leave within the team. Two of the four final audit reports that had been issued were delivered and the two that were not, were four and seven days over, which was due to discussions in agreeing the content of the report. The four audits that were complete had all been delivered within the day allocation and finally, the remaining two performance indicators would be reported at year end. NS confirmed that he had dialled into the Hampshire Audit Committee meeting which had been a positive move over the last few months.

GW from the Panel asked why attendance management had been selected. NS reported that they revisited proposed plan areas from earlier in the year, including this area raised by People Services, and this was considered the most appropriate review to complete.

Richard Jones (RJ) noted that at section 2.2 on page 131 less than two thirds of the progress had been completed. NS confirmed that by April 2019 all work would be completed.

Action: The Committee members stated that they would like the Executive Summary from future audit reports to be included in future reports, rather than being sent separately by email.

The Committee **NOTED** the progress made and changes in delivering the 2018/19 Joint Internal Audit Plan and audit service for Thames Valley Police and the OPCC.

93 PROGRESS ON DELIVERY OF AGREED ACTIONS IN INTERNAL AUDIT REPORTS

The Report provided details of the progress made by managers in delivering the agreed actions in internal audit reports.

Amy Shearn (AS) confirmed there were six actions which were overdue for completion by the end of December 2018, eight actions which were due for completion by the end of January 2019 and three actions due for completion by the end of February 2019. The overdue actions were split by priority with the number of overdue actions that had previously been reported to the Committee in September 2018 had slightly risen from ten to eleven.

The CCMT lead, DCC Campbell, for the Priority 2 rated overdue action in relation to fuel spend/card usage monitoring, felt that due to the development of ERP this action needed to be reviewed to ensure that it remained appropriate.

Action: AS and DCC Campbell will discuss this outside of the meeting.

The Chair confirmed that overall the picture was good and congratulated the Force on this and pleased they were taking matters seriously.

The Committee **APPROVED** and **NOTED** the recommendations of the Report.

94 FORCE MANAGEMENT STATEMENT PROCESS

HMICFRS proposed the introduction of an annual Force Management Statement following an extended period of consultation and development. A template was issued and structured to cover seven principle operational strands and the spectrum of support or enabling services included wellbeing, workforce planning and ICT. This involved the Force shaping the paper but were yet to see the burden produced around the processes adopted. The statement provided the Force with areas of where more scrutiny was required but on a whole, the process would get easier as the Force move forward. From an internal point of view the statement contained a great deal of information which could be shared with staff. Individual force formal feedback was still awaited from HMICFRS but Thames Valley had been unofficially praised for its candour. The document was currently with the public access unit who were producing a version to be uploaded to the Thames Valley Police website and would be used in response to Freedom of Information requests.

(FH) confirmed that he had received a letter from HMICFRS on the PEEL Inspection, that the inspection would be more risk based. Five of the grades already given to the Force would automatically be carried over and four areas within Police Forces would be inspected.

Action: DCC Campbell would provide members with a redacted version of the FMS for 2018/19.

95 CONTACT MANAGEMENT PLATFORM (CMP) PROJECT UPDATE

The CMP system was the most complex and largest IT programme either Hampshire Constabulary or Thames Valley Police had delivered and would replace over twenty systems across both forces. In order to maximise the potential Thames Valley Police and Hampshire Constabulary's call centres, they had been combined to create a single Contact Management unit. This would facilitate future cross-border deployment and response as well as making contact centre processes far more efficient. The CMP programme would improve the forces' ability to accurately assess threat, harm, opportunities and risk and response appropriately. The benefits of the CMP programmer were numerous and these were summarised as:

- Targeted response
- Rapid assessment
- Smarter deployment
- Incident management
- Demand management
- Future proofing
- Safer communities
- Financial savings

The CMP programme was originally initiated by Thames Valley Police and Hampshire Constabulary in early 2014. The original intent was to deliver a stand-alone Customer Relationship management (CRM) system plus a separate Command and Control (C&C) system which replaced the older C&C system for both forces. The product both forces ended up with was not the product they first started with.

The development of the CMP system testing of the integrated systems started back in early 2017 with a view to going live in September 2017. However, whilst user feedback from functional testing was, and has remained very positive, the testing identified a number of issues in performance, integration and system instability which under-mined user confidence. On 30 July 2018 the two PCCs both agreed that the CMP system go-live date should be deferred to April 2019 in order to enable additional and essential testing and resolution of the systems infrastructure problems and instability issues and approved the allocation of an additional £7.3m split between Thames Valley Police and Hampshire Constabulary to fund this work. It was also agreed that project governance arrangements would also need to be reinforced with enhanced regular

reporting of the progress against specific project assurance and decision gates to the both forces Chief officer Groups and both PCCs respectively. The delays were unwelcomed but were necessary as the product had to be stable because people's lives and staff depended on this.

(FH) confirmed that a specific paper had not been written for today's meeting but wanted to update the Committee with an overview.

(AC) gave an update on testing and gave reassurance to the Committee on the areas of functionality, performance and business continuity and confirmed the testing on functionality was complete. A live exercise was carried out last week with officers and Contact Management staff which went well and the exercise would be repeated again in Hampshire. The staff were comfortable with the exercise taking place and AC confirmed that any bugs were dealt with on a daily basis with weekly meetings being held. (DH) was the internal lead on the exercise and also looked at previous milestones for the last week and also weeks before. Audit capability was an area of concern as the organisation had to ensure there was compliance with information assurance. The Force were working closely with the Professional Standards Department (PSD) and had now started performance testing with no detrimental effect. There would be a full rehearsal before going live. There was a huge amount of visibility and transparency around this on a weekly basis and AC and Mike Lattanzio (ML) were checking all was on track and resolving any issues that came up.

AC confirmed that a full training plan would be going out to the organisation via Corporate Comms but that there were already a number of users who had trained on Contact Management by using the e-learning tool provided on the forces intranet. Mike Day (MD) had read the paper and asked how the organisation would connect the risks and how it would all be linked together as an understanding of the business benefits would be useful to see. AC confirmed that a report had been provided by (DH) and Chief Supt. Christian Bunt (CB) would endeavour to find out whether part of the report could be shared with the Committee of the assurances required and understanding of the benefits for the business.

Action: AC to provide to the Committee the availability and update of any CMP business identification work and would update the Committee via CR whether or not this paper could be provided.

The Committee **NOTED** the update on TVP Contact Management Platform (CMP).

96 ANNUAL ASSURANCE REPORT 2018

The Annual Assurance Report 2018 explained how the Committee had complied with each of its specific responsibilities during the last twelve months covering the period December 2017 to December 2018. The Chair highlighted key points set out in the report and based on the information provided to the Committee, the report provided assurance that the corporate governance framework within Thames Valley was operating efficiently and effectively. The Committee continued to attend a observers at the bi-monthly Complaints, Integrity & Ethics Panel to ensure that the Chief Constable's arrangements for and the PCC's oversight of the proper handling of complaints made against the Force and consideration of other integrity and ethics issues were operating effectively in practice. The committee noted that there appears to have been a broadening of the Panel's considerations, away from its key remit, as laid down in the terms of reference. The committee understand why this has occurred and can continue to endorse its activity, so long as it does not detract from the full and proper consideration of the complaint process.

The Committee reviewed the quarterly updates from both the Force and the OPCC in terms of their strategic risk management systems and processes and based on the information that had been provided to the Committee during the last twelve months, it appeared that the organisational risks in both were being managed effectively and there was appropriate capability for their respective goals and objectives to be achieved.

The Committee were satisfied that the business continuity management processes were operating efficiently and effectively in identifying issues and capturing organisational learning and were no significant issues that needed to be drawn to their attention.

It was worth noting that in December 2017 the Committee were informed on the outcome of the Joint Internal Audit Team's Public Sector Internal Audit Standards (PSIAS) external assessment as undertaken by CIPFA. This showed that the service generally conforms to all the requirements of the PSIAS and local Government Application Note which was the best outcome that the team could have achieved. The Committee were extremely pleased with this result having contained two recommendations and three suggestions in the report, all of which had subsequently been addressed. In July 2018 the Committee received the annual report from the Chief Internal Auditor and were pleased to note that all of the planned audits for 2017/18 had been completed, subject to any in year changes to the originally approved plan. The Committee continue to receive final audit reports which gave them early sight of any key issues that arose from completed audits that required management action. This was particularly useful for those few audits that were limited or that minimal assurance was given.

In terms of the financial statements and the year-end audit, the Committee were pleased with the final outcome. The Committee welcomed the efforts that had been made by officers to close the accounts earlier again this year and were pleased to hear that Thames Valley Police were one of the first local policing bodies nationally to have the 2017/18 accounts formally signed off by external auditors. This was an excellent achievement and the Committee wished to express their gratitude to the external auditors for their key role in the closedown and early audit sign-off process.

In July 2018 the Committee received the Annual Report on Environmental Management for 2017/18 which explained the range of environmental work and sustainability the Force had undertaken. The report also provided an outline of the future work programme as part of its continual improvement.

In May 2018 CIPFA published new guidance notes for audit committees which contained model terms of reference and a self-assessment for audit committees to complete. The self-assessment was undertaken during June 2018 by the Chair of the Joint Independent Audit Committee, the Chief Finance Officer (IT) for the OPCC and the Chief Internal Auditor for the OPCC. It was noted that the Terms of Reference would need to be modified slightly, these are shown as track changes. The track changed Terms of Reference was handed out to all attendees as a separate document at the beginning of today's meeting with a clean version provided as set out in Appendix 1.

The Chair went through the conclusions of the report and hoped that the assurances it contained would enhance the public's trust and confidence in the governance of Thames Valley Police and the OPCC.

Action: It was agreed that the Chair would arrange a meeting with (PH) to discuss observations made within the Complaints, Integrity & Ethics Panel around integrity, ethics and assurances, which PH agreed to.

97 OPCC RISK REGISTER

The OPCC risk register identified those risks that had the potential to have a material adverse effect on the performance of the OPCC or the PCC and the ability to delivery strategic priorities, as well as information on how those risks were mitigated. There were currently five discrete risks.

In respect of OPCC 18 the Home Office were due to announce the Provisional Police Finance settlement next week which may include provision for PCCs to increase their Council Tax to help finance the current budget shortfall in the Medium Term Financial Plan.

(PH) summarised the five issues on the risk register as set out in Appendix 1 on page 65. Each risk description was discussed with the proposed action plan for each risk.

In relation to OPCC 20 the OPCC were unable to evidence delivery of strategic priorities and key aims in the PCC's Police and Crime Plan and continued to work with the Governance and Service Improvement Department to better align the Force's Delivery Plan with the PCC's Police and Crime Plan strategic priorities. PH noted that the current status using the 2017/18 outturn report was to demonstrate alignment of Thames Valley Police's plan to aid further discussion prior to Q1 report and publication of first performance infographic. Q1 infographic may be superseded by Q2 although neither have yet been tested on a wider audience including Thames Valley Police and the Police and Crime Plan. Processes to create infographic

still remained intensive. A working version was available on the PCC's website and available for demonstration (internal use only). Once this was up and running, the Committee would be able to see how the OPCC were delivering on the PCC's priorities.

The review of the OPCC victims 'specialist counselling service' identified in OPCC21 potential weaknesses in internal management controls and administrative procedures e.g. non-compliance with GDPR, disclosure requirements required significant investment in the OPCC's time, resource and cost to rectify. This was because of a lack of a basic monitoring system held on the Apricot Case Management system but was currently being reviewed.

A new policy officer for a Counselling Service Coordinator would be starting this week at the Hub in Reading.

The risk OPCC22 of the upgrade of Niche RMS by TVP was to provide victims' data extract in the form that could be uploaded on to the Apricot CMS system in the Victims First Hub. PH confirmed that this had been flagged up with the Force and taken on board. However, the Hub would revert to manual referrals in the event of any disruption but would not stop the service from continuing.

The Committee asked whether the OPCC ever used external 'eyes' on risks. PH explained that during internal OPCC SOG meetings, internal challenges were raised but these were not put out to external challenge and would be worth discussing at the next SOG meeting.

The Chair **NOTED** the five issues on the OPCC Risk Register, the actions being taken and endorsed the proposed changes.

Action: (PH) to discuss at the next OPCC SOG meeting using external eyes on any OPCC risks.

98 ERNST & YOUNG AUDIT PLAN

PK from Ernst & Young confirmed that he had bought forward the planning process and delivery to meet the deadline. The report set out how the auditors intended to carry out their responsibilities and to provide the Committee with a basis to review the proposed audit approach and scope for the 2018/19 audit in accordance with the requirements of the Local Audit and Accountability Act 2014. The plan summarised initial assessments of the key risks driving the development of an effective audit for the PCC and Chief Constable (FH) and outlined their planned audit strategy in response to those risks.

Ernst & Young determined that for the financial statements of the PCC Group, the subsidiaries (PCC and CC Single entity accounts) and the Police Pension Fund is £10.088m, PCC £6.023m, Chief Constable £9.884m, PPF £0.965m respectively. This represented 1.8% of the prior years' gross expenditure on provision of services of the PCC Group and CC Single entity accounts. 1.8% of the prior year's gross assets for the PCC single entity accounts and 1% of the higher of benefits payable/contributions receivable for the Police Pension.

PK considered whether the PCC and (FH) had put in place 'proper arrangements' to secure economy, efficiency and effectiveness on its use of resources. This was known as their value for money conclusion. In 2018/19 this has included consideration of the steps taken by the PCC and (FH) to consider the impact of Brexit on its future service provision, medium term financing and investment values. Although the precise impact from Brexit was yet to be modelled, Ernst & Young anticipated that Authorities would be carrying out scenario planning and that Brexit and its impact would feature on operational risk registers.

(IT) confirmed to the Committee that all matters were on target for closing the accounts for 12/13/14 May 2019 although this depended on resources at that time.

Action: As shown in Appendix B on page 106, PK detailed the communications to be provided to the PCC and to the Chief Constable (FH). The Audit Results Report would be presented at the 12 July 2019 Joint Independent Audit Committee Meeting and this would be noted on the Agenda by CR.

99 ERNST & YOUNG POLICE SECTOR AUDIT COMMITTEE BRIEFING

The Chair confirmed that members had read through the Police Sector Audit Committee Briefing paper and had been given sufficient information on the key questions contained in the paper..

100 DRAFT TREASURY MANAGEMENT STRATEGY STATEMENT 2019/20

The report presented at today's meeting was the draft 2019/20 Treasury Management Strategy Statement for consideration and endorsement before it was presented to the PCC for approval at the Level 1 meeting on 22 January 2019.

CIPFA revised the 2017 Prudential and Treasury Management Codes which required for 2019/20 that all local authorities including the Police were to prepare an Additional Report and a Capital Strategy Report. IT confirmed that he would send the Capital Strategy Report to the Committee once it was received.

Action: (IT) to send to the Committee members the Capital Strategy Report when ready and for future occasions, a covering paper to be presented to the Committee to include key changes and key issues.

IT continued to summarise the capital expenditure plans that provided details of the service activities of the PCC. The Treasury Management function ensured that the PCC's cash was organised in accordance with the relevant professional codes so that sufficient cash would be available to meet this service activity.

The operational boundary for external debt was based on 'probable' debt during the year and was a benchmark guide and not a limit. Actual debt could vary around this boundary for short period during the year but it should act as a monitoring indicator to initiate timely action to ensure the statutory mandatory indicator would not be breached inadvertently. IT confirmed that the final report could be very different in the next Level 1 meeting in 2019 but it would be updated.

The Committee **CONSIDERED** the draft recommended Treasury Management Strategy Statement for 2019/20 for approval.

101 ANTI-FRAUD AND CORRUPTION POLICY

The Joint Anti-Fraud, Bribery and Corruption Policy was to help prevent any corruption within Thames Valley Police and the OPCC. The policy assisted individuals and their line manager in ensuring that their decisions and actions were both legal and appropriate.

The policy was reviewed by the Force during 2017 and also reviewed by the internal sub-group to ensure it remained up-to-date and fit for purpose. The main change to the policy had been to incorporate 'bribery' within the title and to include extensive reference and explanations of this issue throughout the report. Other minor changes had been updated as to relevant legislation. A tracked change of this policy had been sent to the Committee by email. Once this policy had been approved, it would be updated and available on the internal intranet for all staff and officers.

A member asked whether the force had data to show how effective the policy is in practice. The force will look into this.

There were no specific issues arising from this report.

The Chair **APPROVED** the recommendations as set out in the policy.

102 SCALE OF AUDIT FEES

The Scale of Audit Fees was covered by Ernst & Young in Agenda 9 at today's meeting. Public Sector Audit Appointments Ltd (PSAA) had published the fee scale for the audit of the accounts of opted-in principal local government and police bodies as set out in Appendix A on page 105 of Audit Planning Report.

103 ANY OTHER BUSINESS

Action: The Chair asked whether the PCC and Chief Constable (FH) were still content with the one-to-one meetings and this was agreed. The Chair to contact CR and Cressida Chapman (CC) with diary availability.

Action: (RJ) would be standing down from attending as an observer at the Joint Collaboration Governance Board meetings and from January 2019; Committee member Mike Day would be taking over. CR to update Kim Salter, Hampshire OPCC as to the new arrangements.

Date of next meeting 15 March 2019 at 9.30am the Conference Hall, TVP Headquarters South